

Def Calabi-Yau 3-fold  $Y$  - a smooth proj,  $\omega_Y \cong \mathcal{O}_Y$ ,  $h^{2,0} = 0$

Thm (Bogomolov)  $K_Y = 0 \Rightarrow$  up to a finite  $\checkmark$  etale cover (either  $\bar{h}_1(Y) = 0$  or at least  $|H_1(Y)| < \infty$ )  
 $\hat{Y} \rightarrow Y$  we have  $\hat{Y} \cong \text{Abelian} \times \text{Hol. Symp.} \times \text{CY}$

CY of type K  $\hat{Y} = S \times E$   $S$ -K3 surf,  $E$ -ell. curve  
 of type A  $\hat{Y} = \text{Abelian 3-fold}$   
 $\bar{h}_1 = 0, H^i(Y, \mathcal{O}_Y) = \begin{cases} \mathbb{C}, & i = 0, \dim Y \\ 0 & \text{otherwise} \end{cases}$

Most interesting case  $\bar{h}_1(Y) = 0$

Open question: Are there finitely or infinitely many families of CY 3-folds?

Hodge diamond

$$\begin{array}{ccccc} & & 0 & 1 & 0 \\ & & 0 & \rho & 0 \\ 1 & b & & b & 1 \\ & & 0 & \rho & 0 \\ & & 0 & 0 & 0 \\ & & 1 & & \end{array}$$

2 non-trivial Hodge #

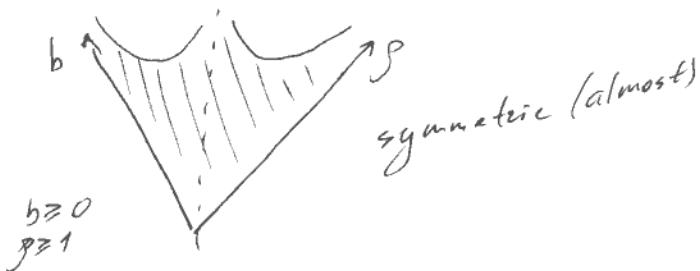
$$\rho = h^{2,1} = h^{1,2} \text{ (Kähler modulus)}$$

$$b = h^{2,2} = h^{0,0} \text{ (complex modulus)}$$

$$T_Y \cong \mathbb{P}^2 \text{ (because } \mathbb{P}^3 \cong \mathbb{P}^3) \quad H^k(Y, T_Y) \cong H^k(Y, \mathbb{P}^2) = H^{2,k}$$

$$H^0(Y, T_Y) = 0; \quad H^1(Y, T_Y) \cong \mathbb{C}^b$$

$Y$  has moduli space and it is  $b$ -dimensional



Other story - Fano 4-folds

$$Z; \quad \omega_Z^* \text{- ample}$$

Thm (Campana, KMM)  $\exists$  only finitely many def. classes of smooth Fano 4-folds.

why?  $Z$  is rationally connected  $\Rightarrow$  many rat. curves  
 $\Rightarrow$  bound the degree  $(-K_Z)^n \leq (2n)^n$  (if  $\rho = 1$ )  
 bound  $c_1^{n-2} c_2$

Conj. bound:  $(-K_Z)^n \leq (n+1)^n$   
 True for  $n=4$  (Hwang)

Open question: how many families of Fano 4-folds?

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$g=1$  - most interesting

$Y \in |-K_X|$  if smooth it is CY 3-fold

### Calabi-Yau Explorer

$b=0 \Rightarrow$  Rigid CY3 Def:  $\overline{\mathbb{Q}}$   $\mathbb{Q} \subset K, [K:\mathbb{Q}] < \infty$

$H^3(Y, \mathbb{Q}_\ell)$  is 2-dim. 2 possibilities:

- $K \neq \mathbb{Q}$  - Galois action
- $K = \mathbb{Q}$

this represent. is modular

$L_3(Y) =$  Mellin transform  
(Modular form of weight 4)

$b=1$  - deform in 1-dim family

$g(M_Y) = 0$  coarse moduli space is a curve of genus 0

$U$  fibres  $\pi^{-1}(t) = \begin{cases} \text{smooth} \\ \text{singular} \end{cases}$

$M_Y$  Sing. fibres

$\pi_1(\mathbb{C}P^1 \setminus \{p_1, \dots, p_n\}) \rightarrow Sp(4, \mathbb{Z})$  - monodromy repres.  
image can be finite and infinite index subgr.

MUM point - when monodromy is max. unipotent:  
 $(M-1)^4 = 0, (M-1)^3 \neq 0$

conifold point - monodromy  $M$  is quasi-reflection  
 $\text{rk}(M-1) = 1$

orbifold point -  $M^a = 1$   
 $M(v) = v + \langle v, u \rangle u$   $u$  - vanishing cycle

Usually  $P$  is MUM point when  $\pi^{-1}(P)$  looks like maximal simple normal crossing at some  $Q$   
 $\pi^{-1}(Q) = P$  (like  $\{z_1 z_2 z_3 z_4 = 0\} \subset \mathbb{C}^4$ )

Conifold point - usually some Morse point  
 $\pi$  looks locally like this:  $z \mapsto \sum_{i=1}^4 z_i^2$

$y_t \rightarrow y_0$   $\leftarrow$  crepant resolution, rigid CY 3-fold  
degeneration

Can try to study these local systems or ODEs

"Main tables" - Calabi-Yau operators database.  
(~50 operators)

$\ell$  - Fano 4-fold,  $\rho=1$ ;  $Y \subset \mathbb{Z}$  CY3 with  $\rho=1$   
 $\rightsquigarrow$  construct  $\tilde{u}: \mathcal{U} \rightarrow \mathbb{C}P^1$  mirror dual family of CY 3folds  
It has at least 1 MUM point; T-convifold point

$$\mathcal{U} \supset (\mathbb{C}^*)^4 \quad \begin{matrix} L \subset \mathbb{Z} \\ \text{is} \\ (S^1)^4 \text{ Lagrangian} \end{matrix}$$

$$\text{Hom}(\tilde{u}_*(L), \mathbb{C}^*)$$

$\tilde{u}/(\mathbb{C}^*)^4$  is LP with real positive coeffs.

Most famous CY 3folds  $\cdot X_5 \subset \mathbb{C}P^4$   
 $\cdot$  complete intersections  $\sum d_i = n+1$ ;  $X_{d_1, \dots, d_{n-3}} \subset \mathbb{C}P^n$

Orbit folding Look for specific pencil of quintics  
(Dwork pencil)

$$\mu (x_1^5 + \dots + x_5^5) + \lambda x_1 \dots x_5 = 0$$

$$(\mu: \lambda) \in \mathbb{C}P^1 \quad (\mathbb{Z}/5)^5 \quad x_i \mapsto \epsilon^{a_i} x_i$$

$$\begin{matrix} \vee \\ (\mathbb{Z}/5)^4 \end{matrix} \rightarrow (\mathbb{Z}/5)^2 \leftarrow \begin{matrix} \text{preserves} \\ \text{the pencil} \end{matrix}$$

$$Y_t^\vee = (Y_t/G) \text{ resolution}$$

Complete intersections in Grassmannians

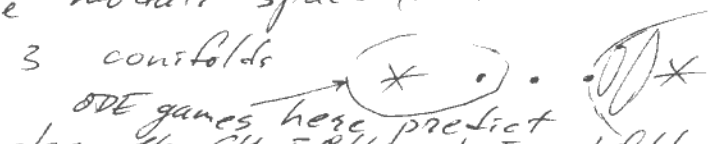
$$Gr(2, 7) \text{ 10-dim Fano, index 2}$$

$$G = Gr(2, V) \subset P(\wedge^2 V) \cong P^{20}$$

$$G \cap P(A) \rightarrow \text{CY 3fold } \rho=1; \text{ Pic } Y = \mathbb{Z}H, \quad H^3 = 42$$

The mirror dual family (or at least ODE) has 5 singular points in the moduli space ( $\cong \mathbb{C}P^1$ )

2 MUM, 3 conifolds  
ODE games here predict  
deg = 34 CY 5fold and Fano 4fold (1996)



Look at 2-dim quotients of  $V$ :

$$V \xrightarrow{\pi} \mathbb{C}^2$$

$$\Lambda^2 \mathbb{C}^2 \cong \langle \omega_0 \rangle$$

$\pi^* \omega_0$  skew-symmetric matrix  $7 \times 7$  rank 2

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In  $\mathbb{P}(\Lambda^2 V^*)$  look for matrices of rank 4

$$\mathcal{X}^{17} \subset \mathbb{P}^{20}$$

$GL(V)$  acts;  $\text{sing } \mathcal{X}^{17} \cong Gr(2, V)$

Fano variety of index 17

$$\mathcal{X} \cap \mathbb{P}(A^+) \subset \mathbb{P}(A^+) \cong \mathbb{P}^6$$

↑ another CY 3-fold

$Gr(2, V)$  and  $\mathcal{X}^{17}$  are classically proj. dual

$$\text{Thm (Borisov-Caldararu, Kuznetsov)} \quad \mathcal{D}_{\text{coh}}^b(Gr \cap \mathbb{P}(A)) \cong \mathcal{D}_{\text{coh}}^b(\mathcal{X} \cap \mathbb{P}(A^+))$$

1-st example of derived equiv., not biad. CY 3-folds

Expectation: MUM points in Kähler moduli space ( $\mathbb{C}P^1$ ) corresponds to non-birational Fourier-Mukai partners.

More on Pfaffians

$X$  - CY 3-fold

$$X \subset \mathbb{P}^N$$

If  $\text{codim } X \leq 2 \Rightarrow X$  is a c.i.

$\text{Codim } X = 3$

Thm (Walker) this variety is given as a generalized Pfaffian

$$E/\mathbb{P}^N$$

$$E \xrightarrow{\varphi} E^{\vee}(u)$$

$$\forall k \in \mathbb{Z} \quad \dim E_k = 2k+1$$

$\varphi$  is skew-symmetric

$$\forall k \varphi_{2k} \in \mathbb{C}^{2k-2}$$

Hosono-Takagi example  $X = \mathbb{P}^4 \times \mathbb{P}^4 \xrightarrow{\text{Segre}} \mathbb{P}^{24} = \mathbb{P}(V \otimes V) \dots \rightarrow \mathbb{P}(S^2 V)$  (5)

$X \cap \mathbb{P}(A)$  CY 3  $p=2$   
 $\text{codim } A = 5$   
 $S^2 \mathbb{P}^4$   $Y = S^2 \mathbb{P}^4 \cap \mathbb{P}(A)$  - smooth CY 3 fold  
 $\text{codim } A = 5$   
 $\pi_1(Y) = \mathbb{Z}/2\mathbb{Z}$   $\chi(Y) = 1$   $H^3 = 35$

Kähler moduli space has 2 MUM's  
 prediction - should be another CY 3 fold with  $H^3 = 10$

$S^2(\mathbb{P}^4)^+$  ← symmetric  $5 \times 5$  matrices of rank 2

Reye congruence  $\mathbb{P}^4 \cong \mathbb{P}(S^2 V^*) \supset Y$

$\det \begin{pmatrix} a_{11} & a_{12} & \dots \\ a_{12} & \dots & \dots \\ \dots & \dots & \dots \end{pmatrix} = 0$  13-dim quintic

$(rk \leq 3) \subset Y^{13}$

$V \rightarrow \mathbb{C}^3$   
 $S^2(\mathbb{C}^3)^*$

$\mathbb{P}(S^2 Q^*)$  11-dim  
 $G_4(5,3)$

$Y^{11} = (rk \leq 3) \subset Y^{13}$

$Y^{13} \cap \mathbb{P}(A^+)$  sing quintic 3 fold

$\tilde{Y} \leftarrow$  quadric of  $rk \leq 4$  + choice of ruling sing in codim 5  
 $Y^{11} \subset Y^{13}$

$\tilde{Y} \cap \mathbb{P}(A^+)$  - smooth CY 3 fold

"double quintic symmetroid"

Thm (Hosono-Takagi)  $D_{\text{coh}}^b(S^2(\mathbb{P}^4)^+ \cap \mathbb{P}(A)) \cong D_{\text{coh}}^b(\tilde{Y} \cap \mathbb{P}(A^+))$   
 and one is a moduli space of curves on the other.

Moral:  $\tilde{\pi}_1(Y)$  is not derived invariant;  
 $B\chi(Y)$  is not derived invar. ← (Addington)  
 Pf:  $H_1(Y) \oplus B\chi(Y)$  is derived invariant.

$$Gr(2,5) \subset \mathbb{P}^9$$

$$X_1, X_2 \cong Gr(2,5) \quad X_1 \cap X_2 \subset \mathbb{P}^9$$

↑ CY 3fold  $g=1$

Kähler mod. sp. has 2 MUM's, Looks like self-dual, but particular points in mod. space may be different.

M. Kapustka noticed that some BPS # are squares

These are just joints

$$X \subset \mathbb{P}(A) \quad (C_{\mathbb{P}(B)} X) \cap (C_{\mathbb{P}(A)} Y) \subset \mathbb{P}(A \oplus B)$$
$$Y \subset \mathbb{P}(B)$$

$$E \xrightarrow{f_d} \mathbb{P}(C^d) \quad \text{If CCE has smoothings}$$

elliptic curve

Funny example

$$Gr(2,4) \times Gr(2,4)$$

$$s \in \Gamma(S^6 U^* \otimes U^*) \quad (s=0) - \text{Fano 4-fold } g=1 \quad \text{diagonal}$$

Mirza

$$X_{12} \subset E_6/P \leftarrow \text{Cayley plane } \mathbb{O}P^2$$

↑ Fano index 9  $\text{Sing } X = \mathbb{P}^5$

$$X_{12} \subset \mathbb{P}^{20}; \quad X_{12} \cap \mathbb{P}(A) \quad \text{codim } A=9$$

KMS has 2 MUM's

prediction:  $\exists$  CY 3 fold  $Z \subset \mathbb{P}^8$

Thm(-, Kuznetsov, Mavrouchev)

Mirza was right.

Explicit construction:  $V, \dim V=6$

$$\mathcal{I}(A,u) = \Lambda^2 V^* \oplus V \quad M_{12} = \{ (A,u) \mid Au=0, \exists k A \leq 2 \}$$

$$M_{15} = \{ Au=0, \exists k A \leq 4 \}$$

Then  $\dim \text{Sing } M_{12} = 5, \dim \text{Sing } M_{15} = 8 \quad M_{12} \cap \mathbb{P}(A) \leftrightarrow M_{15} \cap \mathbb{P}(A)$   
smooth CY 3-folds  $g=1$